

2001年11月15日 13時53分

Hogan & Harrison, Tokyo 813-59084071

NO. 9507 P. 4/65

PATENT  
81788.0163

RESPONSE UNDER 37 C.F.R. § 1.116  
EXPEDITED PROCEDURE  
EXAMINING GROUP 2759

#15(NE)  
Reconsideration  
11.20.01  
OC

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of

Art Unit 2759

Yoshiyuki TANAKA, et al.

Examiner: D. Robertson

Serial No.: 09/130,818

Filed: August 7, 2001

For: METHOD FOR CONTROLLING  
NON-VOLATILE SEMICONDUCTOR  
MEMORY SYSTEM BY USING LOOK  
UP TABLE (As Amended)

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Office, Fax No. 703 308 9051 on November  
14, 2001.

Stanley R. Mason Reg. No. 41,179  
Name  
Signature November 15, 2001  
Date

**RESPONSE TO FINAL OFFICE ACTION**

Assistant Commissioner for Patents  
Washington, D.C. 20231  
Attention: Box AF

Dear Sir:

Pursuant to 37 C.F.R. § 1.116, Applicants submit the following Requested Amendment and Remarks in response to the Final Office Action mailed May 14, 2001, the three-month statutory period for responding being extended three months by the accompanying petition from August 14, 2001 to November 14, 2001. Applicants respectfully assert that entry of the Requested Amendment would place the application in condition for allowance. Alternatively, entry of the Requested Amendment would place the application in better form for consideration on appeal.

FORM PTO-1083

81788.0163

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Yoshiyuki TANAKA, et al.

Serial No: 09/130,818

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Art Unit: 2759

Examiner: D. Robertson

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Stationer's Message Reg. No. 41.179

Name

November 15, 2001

Signature

Date

Assistant Commissioner for Patents  
Washington, D.C. 20231

Attention: Box AF

Dear Sir:

Transmitted herewith is a Response to Final Office Action, Substitute Specification, Letter to the Official Draftsperson, Request for Extension of Time and Notice of Appeal from Examiner to the Board of Appeals in the above-identified application.

- ☐ Small entity status of this application under 37 C.F.R. 1.9 and 1.27 has been established by a verified statement previously submitted.
- ☐ A verified statement to establish small entity status under 37 C.F.R. 1.9 and 1.27 is enclosed.
- ☐ A certified copy of \_\_\_ Patent Application No. \_\_\_ filed \_\_\_ from which priority is claimed under 35 U.S.C. § 119 is enclosed.
- ☐ A Notice Of Change Of Attorney's Address and Associate Power Of Attorney is enclosed.
- ☐ No additional fee is required.

The fee has been calculated as shown below:

	(Col. 1) CLAIMS REMAINING AFTER AMENDMENT		(Col. 2) HIGHEST NUMBER PREVIOUSLY PAID FOR	(Col. 3) PRESENT EXTRA*	LG/SM \$ ENTITY FEE	ADD'L FEE DUE
TOTAL CLAIMS FEE	5	-	5	0	LG=\$18 SM=\$9	\$[FEE] \$ 0
INDEPENDENT CLAIMS FEE	5	-	4	1	LG=\$80 SM=\$40	\$[FEE] \$ 0
FIRST PRESENTATION OF MULTIPLE DEPENDENT CLAIMS					LARGE ENTITY FEE = \$270 SMALL ENTITY FEE = \$135	\$ 0
TOTAL						\$ 0

\* If the entry in Col. 1 is less than the entry in Col. 2, write "0" in Col. 3.

\*\* If the "Highest Number Previously Paid For" IN THIS SPACE is less than 20, write "20" in this space.

\*\*\* If the "Highest Number Previously Paid For" IN THIS SPACE is less than 3, write "3" in this space. The "Highest Number Previously Paid For" (Total or Independent) is the highest number found from the equivalent box on Col. 1 of a prior amendment or the number of claims originally filed.

- ☐ A check in the amount of \$ 0 to cover the additional claims fee is enclosed. A copy of this sheet is enclosed.
- ☐ A check in the amount of \$ 0 to cover the extension fee is enclosed. A copy of this sheet is enclosed.

CONTINUED ON NEXT PAGE

2001年11月15日 13時52分

Hogan&Hartson, Tokyo 813-59084071

NO. 9507 P. 1/65

**Official**

## HOGAN & HARTSON

GAIKOKUHO JTMU BENGOSHI JTMUSHO

SHINJUKU CENTER BUILDING, 46TH FLOOR  
25-1 NISHI-SHINJUKU 1-CHOME  
SHINJUKU-KU TOKYO 163-0646 JAPAN

Tel: 03-5908-4070

Fax: 03-5908-4071

### IMPORTANT NOTICE

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TO: Examiner D. Robertson  
Serial No. 09/130,818

DATE: November 15, 2001

FAX # 703-308-9051

FROM: Sterlon Mason

TOTAL NO. OF PAGES, INCLUDING COVER: 67

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#### MESSAGE:

Please find attached a Response to Final Office Action, Substitute Specification, Letter to the Official Draftsperson, Request for Extension of Time and Notice of Appeal from Examiner. Thank you.

2001年11月15日 13時42分

Hogan&Hartson, Tokyo 813-59084071

NO. 9506 P. 2/19

- ☒ The Commissioner is hereby authorized to charge any deficiencies of fees associated with this communication or credit any overpayment to Deposit Account No. 50-1314. A copy of this sheet is enclosed.
- ☒ Any filing fees under 37 C.F.R. § 1.16 for the presentation of extra claims
- ☒ Any patent application processing fees under 37 C.F.R. § 1.17 Including a three-month extension of time at \$920.
- ☒ Notice of Appeal cost for \$310.

Respectfully submitted,  
HOGAN & HARTSON L.L.P.

By: 

Sterion R. Mason  
Registration No. 41,179  
Attorney for Applicant(s)

Date: November 15, 2001

Biltmore Tower, Suite 1900  
500 South Grand Avenue  
Los Angeles, California 90071  
Telephone: 213 337-6700  
Facsimile: 213 337-6701

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